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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 09/899,489

Filing Date July 5, 2001

First Named Inventor Desmond D. MASCARENHAS

Examiner Name To Be Assigned

Group Art Unit To Be Assigned

Total Number Of Pages In This Submission

4

Attorney Docket No. 479942000400

## ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☒ Amendment (3 pages)

☐ After Final

☐ Affidavits/declarations

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement  
w/Form 1449

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/  
Incomplete Application

☐ Response to Missing Parts  
under 37 CFR 1.52 or 1.53

☐ Assignment Papers  
(for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a  
Provisional Application

☐ Power of Attorney, Revocation  
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) \_\_\_\_\_

☐ After Allowance Communication to  
Group

☐ Appeal Communication to Board of  
Appeals and Interferences

☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosures (please identify below):

Return Receipt Postcard

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm

Morrison & Foerster, 425 Market Street, San Francisco, CA 94105

or

Individual Name

Erwin J. Basinski, Reg. No. 34,773

Signature

Date

July 31, 2001

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Valerie L. Cohen

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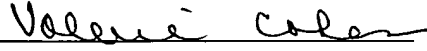


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PATENT  
Docket No. 479942000400

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Valerie Cohen

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In the application of:

Desmond D. MASCARENHAS

Serial No.: 09/899,489

Filing Date: July 5, 2001

For: SYSTEM AND METHOD FOR  
ANONYMOUS TRANSACTION IN A  
DATA NETWORK AND  
CLASSIFICATION OF INDIVIDUALS  
WITHOUT KNOWING THEIR REAL  
IDENTITY

Examiner: To Be Assigned

Group Art Unit: To Be Assigned

**PRELIMINARY AMENDMENT**

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Prior to examination on the merits in the subject application, please enter the following preliminary amendment.

In The Specification

Under "CROSS REFERENCE TO RELATED APPLICATIONS," please delete paragraph [0001], page 2, and insert new paragraph [0001], page 2 with the following: